

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/649,211	08/27/2003	Michael Kelly	860-011447-US / 2002-0779

CONFIRMATION NO. 6141

2512
PERMAN & GREEN
425 POST ROAD
FAIRFIELD, CT 06824

US ACTION
DUE DATE 6-7-04
PAPER DATED 4-7-04
OA _____ FINAL _____
MSG PT / DWG _____
APPEAL _____ ISSUE FEE _____
OTHER _____

FORMALITIES LETTER



Date Mailed: 04/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- \$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

08/11/2004 MAHME1 00000067 161350 10649211

01 FC:1051 130.00 DA

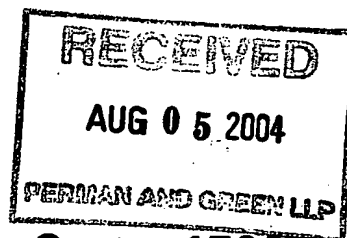
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

JOE GAMBERDELL COMPANY:

**Patent Technology Center 1700****Facsimile Transmission**

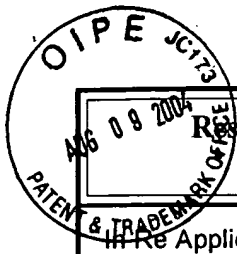
To: **Name:** **JOE GAMBERDELL**
 Company:
 Fax Number: **2032555170**
 Voice Phone:

From: **Name:**
 Official Fax Number: **(703) 872-9310**
 Official After Final Fax Number: **(703) 872-9311**
 Voice Phone:

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Date and time of transmission: Thursday, August 05, 2004 11:37:58 AM
Number of pages including this cover sheet: 03



**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
860-011447-US(PAR)/20020779

In Re Application Of: **KELLY et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/649,211	August 27, 2003		2512		

Invention: **DETERMINING MODE SPECTRA FOR PRINCIPAL STATES OF POLARIZATION**

Mail Stop Missing Parts

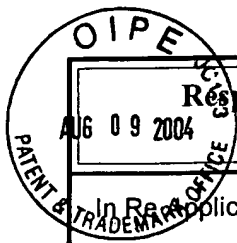
COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
4/7/2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Petition to Reset Reply Date because mailed Notice to File Missing Parts was never received



Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
860-011447-US(PAR)/20020779

In Reply, Application Of: **KELLY et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/649,211	August 27, 2003		2512		

Invention: **DETERMINING MODE SPECTRA FOR PRINCIPAL STATES OF POLARIZATION**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

- ☐ Utility application filing fee _____
- ☐ Design application filing fee _____
- ☐ Total number of independent claims = _____
- ☐ Total number of claims = _____
- ☐ Multiple dependent claims _____
- ☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**
- ☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____
- ☐ Fee for processing an application filed with a non-English language specification _____
- ☐ Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

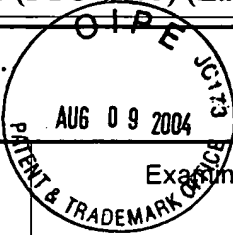
Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
860-011447-US(PAR)/20020779

In Re Application Of: KELLY et al.

Application No. 10/649,211	Filing Date August 27, 2003	Examiner	Customer No. 2512	Group Art Unit	Confirmation No.
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Invention: **DETERMINING MODE SPECTRA FOR PRINCIPAL STATES OF POLARIZATION**

TO THE COMMISSIONER FOR PATENTS:

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The fee of \$130.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 16-1350
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 16-1350

Signature

Joseph V. Gamberdell, Jr., Reg. No. 44,695
PERMAN & GREEN, LLP
425 Post Road
Fairfield, CT 06824
(203) 259-1800
Customer No. 2512

Dated: 6 August 2004

I certify that this document and fee is being deposited on August 6, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Shannon Watt

Typed or Printed Name of Person Mailing Correspondence

CC: